

LUCAS

DESIGNING YOUR FUTURE

1991 Lucas Retirement Account Statement

Prepared for:

[REDACTED]
[REDACTED]
LEDEX

Your Personalized Information

Plan Entry Date:	08/01/91
Date of Birth:	08/18/52
1991 PBGC Interest Rate:	7.25%
1991 Credit Rate:	3.5%
1991 Compensation:	\$ 28,575.70

Your Account Balance Activity

Starting Account Balance as of 8/1/91:	\$ 7,432.99
1991 Accruals (5/12 of full year):	
1991 Company Credits	\$ 363.69
1991 Interest	\$ 224.54
Ending Account Balance as of 12/31/91	\$ 8,021.22

Vesting of Your Account Balance

Vesting Service as of 1/1/92	17.3300
Vested Status of Account Balance as of 1/1/92	100%

*If you find any errors in the personal information shown on your LRA Statement,
please report them to your Personnel Representative.*

TRW Automotive
Benefits Service Center

1 - Pension

December 11, 2015

[REDACTED]
PIQUA OH 45356

TRW Automotive Benefits Service Center
1-866-879-6026
International Access
then enter 866-879-6026
TDD Service for the Hearing Impaired
1-888-343-0860
<http://netbenefits.fidelity.com>

Re: W047947-04DEC15
Lucas Retirement Account Plan, "The Plan"

Dear [REDACTED]

Thank you for calling the TRW Automotive Benefits Service Center on December 04, 2015 regarding your pension payment.

Our records indicate that you have been paid out of the above plan as of your termination date in 1991 in the form of a Lump Sum. The amount of your benefit was \$28,575.70 and there are no further benefits due. Please note that your payment was processed prior to conversion to Fidelity, thus we do not have those documents on file.

According to current rules and regulations for record retention, the "7-year rule" is a statute of limitations that applies to records affecting participant employee retirement plan benefits. Under the rule, the Service Center is required to keep and maintain (at least) 7 years of records affecting participants' accounts. Please check your personal records from 1991.

(If you have any questions, call the TRW Automotive Benefits Service Center toll-free at 1-866-879-6026, Monday through Friday (excluding New York Stock Exchange holidays) between 8:30 AM and 8:30 PM Eastern Time to speak with a Service Center Representative. From outside the U.S., dial your country's toll-free AT&T Direct access number then enter 866-879-6026. In the U.S., call 1-800-331-1140 to obtain AT&T Direct access numbers. From anywhere in the world, access numbers are available online at www.att.com/traveler or from your local operator.)

Sincerely,

TRW Automotive Benefits Service Center
PO Box 770003
Cincinnati, OH 45277-0070



November 14, 2019

Piqua, OH 45356

TRW Automotive Benefits Service Center

1-866-879-6026

International Access

Dial the toll-free AT&T Direct[®] Service Access Code,
then enter 866-879-6026

TDD Service for the Hearing Impaired

1-888-343-0860

Fidelity NetBenefits[®]

<http://netbenefits.fidelity.com>

Re: Lucas Retirement Plan, "the Plan"
W638707-24JUL19

Dear [REDACTED]

Thank you for contacting the TRW Automotive Benefits Service Center. This letter is in response to your recent inquiry regarding your pension account.

The Social Security Administration generates the Potential Private Retirement Benefit notice using data provided in the year following an employee's last day of work with the company. The SSA then sends this information out to former employees who are near or at their retirement date, to alert them of any potential benefit(s) that may be payable from a prior employer. However, the Social Security Administration does not have record of those employees that have commenced either a monthly benefit or have taken a lump sum distribution.

The Lucas Retirement Plan was a Cash Balance Plan. Your Cash Balance History was as follows:

Cash Balance at:

01/01/1990	\$ -
01/01/1991	\$6,557.09
01/01/1992	\$8,021.22
01/01/1993	\$8,542.60
01/01/1994	\$9,033.80
01/01/1995	\$ -

The above data was provided by Mercer (the prior plan administrator). Mercer records indicate that you were paid a lump sum distribution in 1994. Please refer to your 1994 tax return as the distribution would have resulted in a Form 1099-R. However, there is a chance this distribution may have been made in 1993 or 1995, so if you do not find the distribution in 1994, please review those returns as well.

If you have any questions, call the TRW Automotive Benefits Service Center toll-free at 1-866-879-6026, Monday through Friday (excluding New York Stock Exchange holidays) between 8:30 AM and 8:30 PM Eastern Time to speak with a Service Center Representative. From outside the U.S., dial your country's toll-free AT&T Direct access number then enter 866-879-6026. In the U.S., call 1-800-331-1140 to obtain AT&T Direct access numbers. From anywhere in the world, access numbers are available online at www.att.com/traveler or from your local operator.

Sincerely,
TRW Automotive Benefits Service Center
PO Box 770003
Cincinnati, OH 45277-0070

ERISA SURVIVOR SPOUSE PENSION HEIRSHIP DISPUTE

Client and her Husband were married for over 10 years, during most of which Husband participated in a collectively bargained single-employer pension plan ("Plan"). Unfortunately, after separation from employment, Husband passed away, and the Informant on his Texas Certificate of Death identified herself as Common Law Wife. Client negotiated with the Plan for survivor benefits for about one year without success then contacted SCPRP. SCPRP commenced communicating with the Plan and sent Informant a letter requesting she amend the Death Certificate, which she refused.

In the course of investigation, a Probate of a Husband's Handwritten Will Procedure was discovered to have occurred in a Texas Probate Court, and neither Client nor Informant had been noticed in the Probate Case. The Applicant and Executor of the Handwritten Will was Husband's Daughter from a previous marriage. The sworn pleadings stated Husband was not married when he died. Client was mentioned in the Handwritten Will.

In Texas, "A Certificate of Death may only be corrected with an [Application for Amendment] submitted by the informant, funeral director, immediate next-of-kin, or court of competent jurisdiction. *ONLY THE ORIGINAL INFORMANT MAY AMEND THE MARITAL STATUS OF THE DECEASED.* If the informant is unable or unwilling to request this change, a court of competent jurisdiction may make the determination of the marital status of the deceased in the following situations: a party claims he or she is the surviving spouse and is not listed as such, two, or more parties claim to be the surviving spouse, or the record shows the deceased to have been divorced, never married, or widowed and no surviving spouse is listed, and a party is claiming to be the surviving spouse." HANDBOOK ON DEATH REGISTRATION, Texas Department of State Health Services Vital Statistics Unit, Rev. 03/2016, Page 13; Texas Health & Safety Code Section 191.028.

A Texas Probate Court may determine the decedent's heirs and the heirs' respective shares and interests in the decedent's estate through a proceeding to declare heirship (Texas Estates Code 202.001) including when: (1) there has been a will probated in Texas or elsewhere or an administration in Texas of a decedent's estate, but: (A) property in Texas was omitted from the will or administration; or (B) no final disposition of property in Texas has been made in the administration; or (2) it is necessary for the trustee of a trust holding assets for the benefit of a decedent to determine the heirs of the decedent (Texas Estates Code 202.002).

SCPRP filed an Application to Determine Heirship wherein Client was Applicant (attached) in the Texas Probate Court where Husband's Handwritten Will was probated. The Court granted Applicant's request to waive court costs, but not including out-of-county private process server fees for Informant & Daughter. While the Probate Court case was pending, and before an Attorney Ad Litem was appointed by the Court, the Plan switched course and determined Client was the survivor beneficiary (Letters from Plan attached), no claim for benefits under the Plan ever having been filed by Client. The Plan sent Client the Application form for survivor pension benefits and the Application to Determine Heirship case in Probate Court was non-suited. Note: the Attorney Ad Litem Fee would have been in the \$750.00+ range and the responsibility of Applicant/Client.

#PR-5127
NO. _____

ESTATE OF ERVIN L. WILLIAMS,

DECEASED

§
§
§

IN THE COUNTY COURT OF

REFUGIO COUNTY, TEXAS

APPLICATION TO DETERMINE HEIRSHIP

1. Carolyn A. Williams, applicant herein, respectfully shows the court the facts set out below.
2. Applicant has standing because she is a person claiming to be the owner of all or part of the decedent's estate.
3. Ervin Lee Williams died on September 22, 2015 in the City of Houston, in Harris County, Texas.
4. The will of the decedent was admitted to probate in this court in a proceeding entitled and numbered Estate of Ervin Lee Williams, Deceased, Application for Probate of Handwritten Will and Issuance of Letters Testamentary and Cause Number PR-5017 but the property described below, a private pension, was omitted from said will, the same having made no disposition thereof, and there has been no administration in this state.
5. The heirs, and the only heirs, of the decedent are as follows:

Carolyn A. Williams, applicant herein, may be served at 2107 Benjordan, Apt. 1812, Victoria, Victoria County, Texas, is an adult, who is the surviving wife of the decedent, and whose true share and interest in the estate is a 100% pre-retirement survivor annuity in the Dupont Pension and Retirement Plan, which decedent participated in during the marriage of applicant and decedent but did not commence prior to his death; and

Bryanna L. Williams, may be served at 1427 Gentlebend Drive, Missouri City, Fort Bend County, Texas, is an adult, who is the surviving daughter of the decedent from a previous marriage, and whose true share and interest in the estate is a 0.00% interest in the Dupont Pension and Retirement Plan.

6. The list of heirs given above includes all children who were born to or adopted by the decedent.

7. The decedent was married to Carolyn A. Williams, on May 28, 2002. This marriage was terminated by the death of the decedent on September 22, 2015, in Houston, Harris County, Texas.

Previous to the marriage mentioned above, the decedent was married to Gertha Charline Williams. This marriage was terminated by divorce on May 3, 1993, in Harris County, Texas.

8. The foregoing is a complete and full statement as to each marriage of the decedent, including the day of each marriage, the name of each spouse, the date, place and manner of termination of each marriage, together with all material facts known to the applicants showing whether any spouse of the decedent has had an interest in any of the property in which the decedent claimed or owned in interest at the time of his death.

Decedent's Certificate of Death reflects Shelia Parks as Informant, Surviving Spouse, and Common Law Wife. Decedent was married to Applicant at the time of his death (Paragraph 7). Neither proof of informal marriage, declaration and registration of informal marriage, nor recording of certificate or declaration of informal marriage has occurred regarding an alleged informal marriage between Shelia Parks and Decedent.

9. The decedent died testate. The disposition of the will is described in Paragraph 4 above.

10. A general description of the real and personal property owned by the decedent at the time of death is as follows:

a. Real Property:

(1) The North one-half (N/2) of Lot Seven (7), in Block Three (3), in the Town of Tivoli, in Refugio County, Texas, according to the established map or plat of said townsite duly recorded in Volume 1, Page 15, in the Map and Plat Records of Refugio County, Texas; also known as 214 Williams Street, Tivoli, Texas. Such real property was owned by decedent as his separate property prior to the marriage to Carolyn A. Williams. After probate of decedent's will as described in Paragraph 4 above, the interest owned by surviving spouse, Carolyn A. Williams, is 0.00%, and the interest owned by surviving daughter, Bryanna L. Williams, is 100%.

b. Personal Property:

(1) Cash and Life Insurance belonging to Estate

Prosperity Bank Account No. 807780588	\$ 100.00
IBC Bank Account No. XXXXXX1590	\$ 188.58

(2) Household Furniture and Fixtures	\$ 5,000.00
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(3) Lexis Automobile Vin: JTHBKIEGXC495183	\$ 35,000.00
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(4) 2004 Dodge Neon	\$ 5,000.00
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(5) Vested Right to Deferred Pension in the Dupont Pension and Retirement Plan, Sponsor Employer Identification Number 51-0014090, Plan Number 001.

11. The date of marriage of Decedent and Gertha Charline Williams was not available online or known to Applicant at the time of filing of this Application. A hard copy of the divorce file has been ordered from the Harris County District Clerk.

12. Venue for this proceeding lies in Refugio County because decedent was domiciled and had a fixed place of residence in Refugio County on the date of death; and decedent's handwritten will was probated in this Refugio County as described in Paragraph 4 above.

13. Applicant prays that the court make a determination of the heirs of the decedent and declare their respective interest in the decedent's estate; and that the court enter such other and further orders as may be proper.

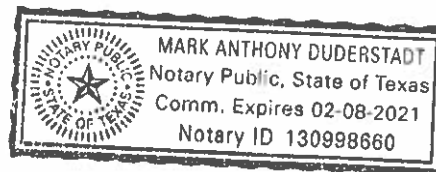
Signed this 17 day of Dec, 2019.

Carolyn A. Williams
Carolyn A. Williams, Applicant

State of Texas
County of Victoria

Sworn to and subscribed to before me on 12-17-2019 by Carolyn A. Williams.

Mark Duderstadt
Notary Public, State of Texas
My commission expires: 02-08-2021



Respectfully submitted,



Roger Curme

Attorney for Applicant

State Bar No.: 05257200

1920 E. Riverside Drive

Suite A-120, #501

Austin, TX 78741

Phone: 512-477-6000 X-142

FAX: 512-477-6576

Email: rcurme@tlsc.org



Corteva Agriscience™
HR Total Rewards
P. O. Box 30649
Wilmington, DE 19805
USA

April 10, 2020

Mr. Roger Curme
South Central Pension Rights Project
P. O. Box 41256
Austin TX 78704

Re: [REDACTED], SSN xxx-xxx-[REDACTED]

Dear Mr. Curme:

On the recommendation of the legal counsel for the Corteva Agriscience Benefit Plans Administrative Committee, Corteva Connection reached out to [REDACTED] to determine if she planned to claim survivor benefits from the Pension and Retirement Plan, in connection with the death of Mr. [REDACTED]. We set a deadline for response of April 1, 2020, and we have not received a claim for survivor benefits from Ms. [REDACTED].

The Committee acknowledges that Ms. [REDACTED] was the wife of Mr. [REDACTED] at the time of his death and is the legitimate beneficiary for benefits from the Pension and Retirement Plan. We therefore are instructing Corteva Connection to initiate the survivor benefit payments to [REDACTED].

Please contact us with any questions or concerns.

Regards,

A handwritten signature in black ink, appearing to read "Mark Durkovic", written over a horizontal line.

Mark Durkovic

HR Total Rewards, on behalf of the Benefits Administration Committee

MD/afI



Corteva Connection

Statement Date: April 10, 2020

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

Starting Your Beneficiary Pension Benefit Pension and Retirement Plan

Payment Information for Pension and Retirement Plan

As the beneficiary of [REDACTED], you're entitled to a monthly benefit of **\$138.00** from the Pension and Retirement Plan beginning on **December 1, 2018**.

Additional Payment Information

Starting your benefit requires several decisions on your part. The enclosed materials will help you through those decisions. **Please keep this material for your records.**

What You Need to Do

- Review all the enclosed information:
 - Beneficiary Pension Elections Worksheet
 - Beneficiary Notice of Rights

PENTEGRA DEFINED BENEFIT PLAN FOR FINANCIAL INSTITUTIONS

The Pentegra Defined Benefit Plan for Financial Institutions ("Pentegra Plan") is a large, non-profit, IRS qualified, tax-exempt, multiple employer pension plan and trust covered by Title IV of ERISA. Participating Employers include the U.S. Office of the Comptroller of the Currency and participating employees include former employees of the U.S. Office of Thrift Supervision (OTS).

Congress responded to the Savings & Loan debacle in part by overhauling regulation of the industry. See Financial Institutions Reform, Recovery, and Enforcement Act of 1989 ("FIRREA"), Pub.L. No. 101-73, 103 Stat. 183 (1989) (principally codified at scattered sections of 12 U.S.C.). FIRREA in part mandated that some 2,500 Bank employees involved in regulatory activities be transferred to and become federal employees. See FIRREA §§ 403(c) & 722(a), 103 Stat. 361, 426, reprinted at 12 U.S.C. § 1437 note (Supp.1990). OTS therefore became responsible for paying these employees and funding their retirement benefits. See id. § 723(b), 103 Stat. 428, reprinted at 12 U.S.C. § 1437 note (Supp.1990). Before the transfer, OTS made arrangements to join the Financial Institutions Retirement Fund (now the Pentegra Plan) as a participating employer so that the transferred employees could continue to accrue benefits in the Fund. Transferred U.S. Government employees were given an election to stay in the Financial Institutions Retirement Fund (FIRF) or participate in Federal Employee Retirement System (FERS). Years later, Section 312 of the Dodd-Frank Wall Street Reform and Consumer Protection Act in part mandated merger of OTS with the Office of the Comptroller of the Currency (OCC) as of 21 July 2011. The OTS ceased to exist on 19 October 2011.

The Summary Plan Description of the Pentegra Defined Benefit Plan for Financial Institutions as Adopted by the Office of the Comptroller of the Currency for former employees of the Office of Thrift Supervision, January 1, 2018, contains the standard Statement of ERISA Rights. However, information including benefit calculations for transferred employees who elected to remain in FIRF must be requested by the federal employer.

12 U.S. Code § 5432. Transfer of employees

(1) Retirement benefits for transferred employees

(A) In general

(i) Continuation of existing retirement plan

Each transferred employee shall remain enrolled in the retirement plan of the transferred employee, for as long as the transferred employee is employed by the Office of the Comptroller of the Currency or the Corporation.

(ii) Employer's contribution

The Comptroller of the Currency or the Chairperson of the Corporation, as appropriate, shall pay any employer contributions to the existing retirement plan of each transferred employee, as required under each such existing retirement plan.

(B) Definition

In this paragraph, the term "existing retirement plan" means, with respect to a transferred employee, the retirement plan (including the Financial Institutions Retirement Fund), and any associated thrift savings plan, of the agency from which the employee was transferred in which the employee was enrolled on the day before the date on which the employee was transferred.